

OFFICIAL USE ONLY (do not Complete)				
Date Received	Omitted Documents Requested	Omitted Documents Received	Board Review Date	Contractor Notice

CONTRACTOR PREQUALIFICATION PACKAGE FOR CONSTRUCTION OF PUBLIC IMPROVEMENTS FOR THE CITY OF OKLAHOMA CITY

Final Check List

- Class/Subclass of Work form
- Prequalification Statement of Experience & Equipment
- Bond Assurance letter w/Power of Attorney
- Financial Statement reviewed within the last 6 months or a Financial Statement w/ Contractor's Certificate
- Statement and Release of Financial Information
- Supplement to Section 3.C.2. of Resolution
- Contractor ID numbers
- Application Fee

INSTRUCTIONS FOR SUBMITTING THE CONTRACTOR PREQUALIFICATION PACKAGE TO THE PREQUALIFICATION REVIEW BOARD FOR THE CITY OF OKLAHOMA CITY AND TRUSTS OF WHICH IT IS A BENEFICIARY

1. It is the intent of The City of Oklahoma City to update and maintain its list of prequalified contractors for construction of public improvements. As the result, the following application and procedures have been developed to better serve the City, the public and the contractors.
2. Contractors that are presently prequalified with The City of Oklahoma City will continue to be prequalified until the completion of their present prequalification period. These contractors shall submit the new prequalification application one month prior to the anniversary of the date of Prequalification.
3. Read pages iii and iv of the prequalification package to determine the class(es) of work that best describes your qualifications.
4. Fill out the Prequalification Statement of Experience and Equipment for The City of Oklahoma City. Make sure that the application is complete. An incomplete application may be returned to the contractor and which would result in a delay in review and approval of prequalification.
5. The current annual fee per classification for Prequalification is as follows:

\$360.00	per classification for persons with a business address and yard within a forty (40) mile radius of the City Engineer's office, 420 W. Main Street, Oklahoma City, Oklahoma.
\$470.00	per classification for persons with a business address or yard within the State of Oklahoma but a business address or yard outside the forty (40) mile radius from the City Engineer's office, 420 W. Main Street, Oklahoma City, Oklahoma.
\$1480.00	per classification for person with a business address or yard outside the State of Oklahoma.

6. The documents required for the review of the Prequalification Application include the following:

- a. Class/Subclasses of Work form.
- b. The completed Prequalification Statement of Experience and Equipment with all the required supporting documents.
 1. A letter of assurance from your bonding company. The bonding company must indicate the total amount of bonds that they are willing to execute on your behalf and provide a copy of their Power of Attorney.
 2. Full set of Financial Statements, reviewed or audited by a Certified Public Accountant (CPA) within the last 6 months or accompanied by the Contractor's certificate if the review is more than six (6) months but less than one (1) year old.
 3. Sign and notarize the Statement and Release of Financial Information
 4. Complete the "Supplement to Section 3.C.2." of the Resolution pertaining to Prequalification. The yard location option is limited to companies located within a 40 mile radius of the City Engineer's office, 420 W. Main Street, Oklahoma City, OK.
 5. Supply the contractor's identification numbers.
- c. A business check or cashier's check or money order for the application processing fee payable to: CITY TREASURER. City ordinance provides for a fee of \$20.00 to be charged on returned checks.

Omission of any of the listed documents will cause a delay in the processing of your application and additional documentation of information may be required in some instances. The Final Checklist on the cover sheet is for your use.

7. Additionally all applicable licenses must be obtained at the time of Prequalification approval. There is an associated City license with each area of Prequalification and in some instances additional State licenses are required. Licenses renew concurrently with the Prequalification period.

Contact Jose Lemus at (405) 297.2293 or Angee Wagner at (405) 297.2034 should have any questions regarding Prequalification requirements or need assistance filling out the application.

* NOTE The applicant may attach any existing documentation in lieu of filing out certain parts of the application(i.e. list of equipment; financial statement) as long as it meets or exceeds the detail and requirements of the City of Oklahoma City application



The City of
OKLAHOMA CITY
Department of Public Works

PREQUALIFICATION CLASSIFICATIONS

December 2018

Revised 4/10/2019

- _____ **Bridge contractor "A"** (> \$1 million dollars)
(Span Bridge – At least 2 abutments and the span between them and any box culvert 20-foot or over)
- _____ **Bridge contractor "B"** (< \$1 million dollars)
(Span Bridge – At least 2 abutments and the span between them and any box culvert over 20-feet)
- _____ **Bridge contractor "C"** (>\$50,000)
(Any box culvert 20-feet or over)
- _____ **Building contractor "A"** (> \$5 million dollars)
(Past experience includes construction of at least three (3) buildings individually over \$5 million within the last ten (10) years.
- _____ **Building contractor "B"** (< \$5 million dollars)
(Past experience includes construction of at least three (3) buildings individually over \$500,000 within the last ten (10) years.
- _____ **Bore contractor "A"** (>\$500,000)
(Past experience includes boring, drilling, and/or trenching)
- _____ **Demolition contractor "A"** (> \$500,000)
(Past experience includes demolition)
- _____ **Electrical contractor "A"** (> \$500,000)
(Past experience includes Electrical and holds an Electrical License with the State of Oklahoma)
- _____ **Heating, Ventilation, and Air Conditioning contractor "A"** (> \$500,000)
(Past experience includes HVAC and holds all applicable licenses with the State of Oklahoma)
- _____ **Paving contractor "A"** (> \$5 million dollars)
(All asphalt, concrete or gravel roads with or without curbs, with or without bar ditch. Includes roadway, sidewalks, trails, driveways, storm sewer [such as conduit, box culvert and channels] and box culverts 20-feet or under in width.)
Must provide ADA certification for sidewalk and driveways.
- _____ **Paving contractor "B"** (< \$5 million dollars)
(All asphalt, concrete or gravel roads with or without curbs, with or without bar ditch. Includes roadway, sidewalks, trails, driveways, storm sewer [such as conduit, box culvert and channels] and box culverts 20-feet or under in width.)
Must provide ADA certification for sidewalk and driveways.
- _____ **Paving contractor "C"** (< \$1 million dollars)
(Sidewalks and Driveways ONLY. Must provide ADA certification)
- _____ **Plumbing contractor "A"** (> \$500,000)
(Past experience includes plumbing and holds all applicable licenses with the State of Oklahoma)

- _____ **Roofing contractor “A”** (> \$1 million dollars)
(Roofing license required and shows past experience in roofing)
- _____ **Roofing contractor “B”** (< \$1 million dollars)
(Roofing license required and shows past experience in roofing)
- _____ **Stormwater contractor “A” – All** (> \$5 million dollars)
- _____ **Stormwater Conduits, Channels and Culverts “A”** (> \$5 million dollars)
- _____ **Stormwater Detention and Retention Ponds “A”** (> \$5 million dollars)
- _____ **Stormwater Boxes up to 20-foot wide “A”** (> \$5 million dollars)
- _____ **Stormwater Boxes over 20-foot wide “A”** (> \$5 million dollars)
- _____ **Stormwater contractor “B” – All** (< \$5 million dollars)
- _____ **Stormwater Conduits, Channels and Culverts “B”** (< \$5 million dollars)
- _____ **Stormwater Detention and Retention Ponds “B”** (< \$5 million dollars)
- _____ **Stormwater Boxes up to 20-foot wide “B”** (< \$5 million dollars)
- _____ **Stormwater Boxes over 20-foot wide “B”** (< \$5 million dollars)
- _____ **Streetcar contractor “A” – All** (< \$1 million dollars) (For All check all “A” Boxes)
- _____ **Embedded Rail Contractor “A”**
(Must have rail experience for at least 2 projects in the most recent five years)
Must provide Track Access Certification for the Streetcar Envelope.
- _____ **Cantilever and DC Electrical Systems “A”**
(Must have streetcar cantilever, rail electrical, traction power sub-station (TPSS) and DC Electrical Systems experience for at least 2 projects in the most recent five years)
Must provide Track Access Certification for the Streetcar Envelope.
- _____ **Streetcar contractor “B” – All** (< \$1 million dollars) (For All check all “A” Boxes)
- _____ **Embedded Rail Contractor “B”**
Must provide Track Access Certification for the Streetcar Envelope.
- _____ **Cantilever and DC Electrical Systems “B”**
Must provide Track Access Certification for the Streetcar Envelope.
- _____ **Traffic contractor “A” – All** (>\$1 million dollars) (For All check all “A” Boxes)
- _____ **Signal Installation “A”**
- _____ **Traffic Control and Striping “A”**
- _____ **Traffic contractor “B” – All** (<\$1 million dollars) (For All check all “B” Boxes)
- _____ **Signal Installation “B”**
- _____ **Traffic Control and Striping “B”**
- _____ **Water contractor “A” Steel/PCCP** (> \$1 million dollars).
All Water Pipeline Sizes and All Water Pipeline Materials, including Steel and PCCP Pipeline.
1. Class “A” Water Pipeline Contractor Prequalification
 2. Applicant has Steel/PCCP water pipeline experience of:
 - a. 2 miles, or
 - b. 5 projects,

of at least 42-inches in diameter pipes within the most recent 5 years.
 3. Applicant has a bonding limit of at least Ten Million Dollars.
 4. Applicant has ODEQ certifications as required.

_____ **Water Pipeline contractor “A” PCCP**

(All water pipeline sizes and materials, INCLUDING PCCP pipe.)

5. Water contractor “A” prequalification; and
6. Applicant has PCCP water pipeline experience and successful completion of:
 - a. 1 mile, or
 - b. 2 projects with a minimum length of 1,000 linear feet, of at least 42-inches in diameter pipes; and
7. Applicant has a bonding limit of at least \$10 million; and
8. Applicant has ODEQ certifications as required.

_____ **Water Pipeline contractor “A” Steel**

(All water pipeline sizes and materials, INCLUDING steel pipe.)

1. Water contractor “A” prequalification; and
2. Applicant has Steel water pipeline experience and successful completion of:
 - a. 1 mile, or
 - b. 2 projects with a minimum length of 1,000 linear feet, of at least 42-inches in diameter pipes; and
3. Applicant has a bonding limit of at least \$10 million; and
4. Applicant has ODEQ certifications as required.

_____ **Water Pipeline contractor “A”**

(All water pipeline sizes and materials, EXCEPT steel and PCCP pipe.)

1. Applicant has water pipeline experience and successful completion of:
 - a. 1 mile, or
 - b. 2 projects with a minimum length of 1,000 linear feet, of at least 42-inches in diameter pipes; and
2. Applicant has a bonding limit of at least \$10 million; and
3. Applicant has ODEQ certifications as required.

_____ **Water Pipeline contractor “B”**

(Water pipeline smaller than 42-inches in diameter and all water pipeline materials, EXCEPT steel and PCCP pipe.)

1. Applicant has water pipeline experience and successful completion of:
 - a. 1 mile, or
 - b. 2 projects of greater than 1,000 linear feet, of at least 20-inches in diameter pipes; and
2. Applicant has a bonding limit of at least \$5 million; and
3. Applicant has ODEQ certifications as required.

_____ **Water Pipeline contractor “C”**

(Water pipeline from 6 to 20 inches in diameter and all water pipeline materials.)

1. Applicant has water pipeline experience and successful completion of:
 - a. 1 mile, or
 - b. 2 projects of greater than 1,000 linear feet, of at least 6 inches in diameter pipes; and
2. Applicant has a bonding limit of at least \$1 million; and
3. Applicant has ODEQ certifications as required.

_____ **Water Facilities contractor “A”**

(All water facilities including WTPs, tanks, booster stations, and pump stations).

1. Applicant has experience and successful completion of at least 2 of the above described water facilities projects of:
 - a. greater than 20 million gallons per day in capacity, or
 - b. \$25 million or more; and
2. Applicant has a bonding limit of at least \$10 million; and
3. Applicant has ODEQ certifications if required.

_____ **Water Facilities contractor “B”**

(Water facilities including tanks, booster stations, and raw water pump stations.)

1. Applicant has experience and successful completion of at least 2 of the above described water facilities projects of:
 - a. greater than 10 million gallons per day in capacity, or
 - b. \$5 million or more; and
2. Applicant has a bonding limit of at least \$10 million; and
3. Applicant has ODEQ certifications if required.

_____ **Wastewater Pipeline contractor “A”**

(All wastewater pipeline sizes and materials.)

1. Applicant has wastewater pipeline experience and successful completion of:
 - a. 1 mile, or
 - b. 2 projects of greater than 1,000 linear feet, of at least 42-inches in diameter pipes; and
2. Applicant has a bonding limit of at least \$10 million; and
3. Applicant has ODEQ certifications as required.

_____ **Wastewater Pipeline contractor “B”**

(Wastewater pipeline smaller than 42-inches in diameter and all wastewater pipeline materials.)

1. Applicant has wastewater pipeline experience and successful completion of:
 - a. 1 mile, or
 - b. 2 projects of greater than 1,000 linear feet, of at least 21-inches in diameter pipes; and
2. Applicant has a bonding limit of at least \$1 million; and
3. Applicant has ODEQ certifications as required.

_____ **Wastewater Pipeline contractor “C”**

(Wastewater pipeline smaller than 21-inches in diameter and all wastewater pipeline materials.)

1. Applicant has wastewater pipeline experience and successful completion of:
 - a. 1 mile, or
 - b. 2 projects of greater than 1,000 linear feet, of at least 8-inches in diameter pipe; and
2. Applicant has a bonding limit of at least \$1 million; and
3. Applicant has ODEQ certifications as required.

_____ **Wastewater Facilities contractor “A”**

(All wastewater facilities including WWTPs and lift stations.)

1. Applicant has experience and successful completion of at least 2 of the above described water facilities projects of:
 - a. a capacity greater than 5 million gallons per day, or
 - b. \$25 million or more; and
2. Applicant has a bonding limit of at least \$10 million; and
3. Applicant has ODEQ certifications if required.

_____ **Wastewater Facilities contractor “B”**

(Wastewater facilities including lift stations smaller than 5 million gallons).

1. Applicant has experience and successful completion of at least 2 of the above described wastewater facilities projects of:
 - a. at least 250,000 gallons per day in capacity, or
 - b. \$10 million or more; and
2. Applicant has a bonding limit of \$1 million; and
3. Applicant has ODEQ certifications if required.

Provisional Classification Contractor Prequalification Policy is applicable to all prequalification classifications. **Provisional Classification Contractor Prequalification Policy** is applicable to all contractors applying for an elevation of a classification, except elevation to Class “A” Water Steel or Class “A” Water PCCP.

Elevation of Prequalification Classification:

Elevation of a classification is a higher or broader class than the classification the contractor holds at the time of the application. *For example*, elevation from Class “C” to Class “B” or from Class “B” to Class “A”.

Any applicant may apply for elevation of a classification; however, any grant of an elevation of a classification will be provisional and subject to the restrictions herein until the Prequalification Review Board revises or removes the restrictions at a Prequalification Review Board meeting.

Provisional Restriction:

A contractor granted a provisional classification may only be awarded one contract in the provisional classification at a time. A contractor awarded a contract in a provisional class may not bid on and may not be awarded another contract in the provisional classification until the Prequalification Review Board determines the provisional classification contract has been fully and timely completed and the provisional restriction has been removed by the Prequalification Review Board.

However, the contractor may simultaneously bid on and may be awarded any number of contracts and may work as a subcontractor:

- (a) in other classifications granted by the Prequalification Review Board that are not subject to a provisional restriction, or
- (b) in a classification, below the provisional elevated classification, held by the applicant at the time of the application for the elevation of classification,

while performing work on the provisional classification contract.

Should a contractor not fully and timely complete a contract in a provisional classification, the Prequalification Review Board may continue the provisional restriction or revise the provisional restriction.

Provisional Class “A” Water Steel Pipeline Contractor and Class “A” Water PCCP Pipeline Contractor Prequalification Restrictions

Applicants that cannot meet the Provisional Class “A” Water Steel Pipeline Contractor Prequalification or Class “A” Water PCCP Pipeline Contractor Prequalification requirements, listed within the Oklahoma City Prequalification Classifications , at the time of application or have not been a Prequalified Class “A” Water Steel/PCCP Pipeline Contractor within one calendar year of the time of application, may apply to elevate their prequalification only by being granted the applicable Provisional Class “A” Water Steel Pipeline Contractor Prequalification or Class “A” Water PCCP Pipeline Contractor Prequalification.

Provisional Class “A” Water Steel Pipeline Contractor Prequalification or Class “A” Water PCCP Pipeline Contractor must have the following requirements:

- Class “A” Water Pipeline Contractor prequalification,
- Applicant has a bonding limit of at least Ten Million Dollars.
- Applicant has ODEQ certifications as required.

Provisional Class “A” Water Steel Pipeline Contractor Prequalification or Class “A” Water PCCP Pipeline Contractor Prequalification may only perform one Provisional Class “A” Water Steel Pipeline or Class “A” Water PCCP Pipeline project at a time. During this provisional Class “A” Water Steel Pipeline or Class “A” Water PCCP Pipeline project, the provisional contractor must meet the following requirements:

1. Retain a manufacturer’s representative on site for Steel and/or PCCP pipeline projects who is licensed or certified to work with the Steel and/or PCCP and who guides the provisional contractor’s work for the first 1,000 feet of installed pipe; and
2. Install a minimum of 2 miles of Steel and/or PCCP pipe which must be successfully tested in accordance with all Construction Contract Documents requirements and then be certified by the Engineer of Record for the Construction Project.

Upon timely and successful completion of Items 1 and 2, the Provisional Class “A” Water Steel Pipeline Contractor Prequalification or Class “A” Water PCCP Pipeline Contractor Prequalification, can request for removal of Provisional status through application to the Prequalification Board.

Provisional Class “A” Water Steel Pipeline Contractor Prequalification or Class “A” Water PCCP Pipeline Contractor may not bid on another Class “A” Water Steel Pipeline or Class “A” Water PCCP Pipeline project until it has completed its current Class “A” Water Steel Pipeline or Class “A” Water PCCP Pipeline project; however, the Provisional Class “A” Water Steel Pipeline Contractor Prequalification or Class “A” Water PCCP Pipeline Contractor may simultaneously perform any number of Class “A”, Class “B” or Class “C” Water Pipeline projects while performing the provisional Class “A” Water Steel Pipeline or Class “A” Water PCCP Pipeline project.

After removal of the provisional restriction, the provisional Class “A” Water Steel Pipeline Contractor or Class “A” Water PCCP Pipeline Contractor will become a Class “A” Water Steel Pipeline Contractor or Class “A” Water PCCP Pipeline Contractor which may bid upon and may perform multiple Class “A” Water Steel Pipeline Contractor or Class “A” Water PCCP Pipeline Contractor projects concurrently.

Applications for an Elevation of Prequalification Classification will be subject to the Provisional Restriction until revised or removed by the Prequalification Review Board or until prequalification is terminated by the Prequalification Review Board or expires by failure to renew prequalification.

Provided however, the Prequalification Review Board may grant an Elevation of Prequalification Classification without Provisional Restriction, as determined by the Prequalification Review Board in its discretion.

The following characteristics of the Applicant, among others, may be considered by the Prequalification Review Board in determining whether to grant an Elevation of Prequalification Classification without Provisional Restriction:

- A. Previous timely, successfully, fully completed projects in the requested classification.
- B. Experience of existing supervisors with projects in the requested classification.
- C. Previous timely, successfully, fully completed projects in the class below the requested classification, with particular emphasis on projects of the approximate size and type in the requested classification.
- D. Experience of existing supervisors with projects in the class below the requested classification, with particular emphasis on projects of the approximate size and type in the requested classification.
- E. Previous timely, successfully, fully completed projects in the unexpanded classification of the requested classification, with particular emphasis on projects similar to or in the nature of the requested classification.
- F. Experience of existing supervisors with projects in the unexpanded classification of the requested classification, with particular emphasis on projects similar to or in the nature of the requested classification.
- G. Number of projects not timely, successfully or fully completed in any classification.
- H. Number of projects timely, successfully and fully completed in any classification.
- I. Number of projects not timely, successfully and fully completed in Oklahoma City in any classification.
- J. Number of projects timely, successfully and fully completed in Oklahoma City in any classification.
- K. Supervisors with the licenses required for the elevated classification.
- L. Such other characteristics, factors, experiences and projects as deemed relevant by the Prequalification Review Board or any of its members.

Nothing herein restricts the authority or ability of the Prequalification Review Board to set or include any additional or other conditions, limitations or requirements on any applicant or for any applicant's classification.

This Provisional Classification Contractor Prequalification Policy is subject to revision or amendment by the Prequalification Board at any meeting.

**APPLICATION FOR CONTRACTORS PREQUALIFICATION CERTIFICATE
CITY OF OKLAHOMA CITY, OKLAHOMA
PREQUALIFICATION STATEMENT OF EXPERIENCE AND EQUIPMENT**

- Joint Venture
- Individual
- Partnership
- Corporation
- L.L.C.
- L.L.P.

1. APPLICANT (Show Complete Legal Name)

ORGANIZATION FORM

STREET ADDRESS

MAILING ADDRESS

CITY

STATE

ZIP

PHONE #

CONTACT PERSON

FAX #

CONTRACTOR I.D. NO./FEDERAL TAX I.D. NO.

E-MAIL ADDRESS

APPLICATION SUBMITTED BY

TITLE

HOW MANY YEARS HAS THIS ORGANIZATION BEEN
IN BUSINESS UNDER YOUR PRESENT BUSINESS NAME? _____ YEARS

2. HAS THIS ORGANIZATION OPERATED UNDER ANY OTHER NAME? YES NO IF YES, WHAT NAME, WHERE, WHEN AND WHY?

3. DESCRIBE CATEGORIES OF WORK THAT THIS ORGANIZATION TO PERFORM AS A CONTRACTOR

4. DESCRIBE CATEGORIES OF WORK THIS ORGANIZATION USUALLY SUBCONTRACTS, IF ANY

5. HAS THIS ORGANIZATION OR ANY OFFICER OR PRINCIPAL OF THIS ORGANIZATION EVER FAILED TO COMPLETE OR REFUSED TO ENTER INTO A CONTRACT AWARDED SAID OFFICER OR ORGANIZATION? YES NO IF YES, WITH WHOM, WHERE, WHEN AND WHY?

6. HAS ANY OFFICER OR PRINCIPAL OF THIS ORGANIZATION EVER BEEN AN OFFICER OF ANOTHER ORGANIZATION THAT FAILED TO COMPLETE OR REFUSED TO ENTER A CONSTRUCTION CONTRACT? YES NO IF YES, STATE NAME OF INDIVIDUAL, OTHER ORGANIZATION AND REASON THEREFOR: _____

7. HAS ANY OFFICER OR PRINCIPAL OF THIS ORGANIZATION EVER FAILED TO COMPLETE A CONSTRUCTION CONTRACT IN THEIR NAME? YES NO IF YES, STATE NAME OF INDIVIDUAL, AND REASON THEREFOR: _____

8. HAS THIS ORGANIZATION OR ANY OFFICER OR PRINCIPAL EVER FAILED TO QUALIFY OR PREQUALIFY AS A BIDDER? YES NO IF YES, WHEN, WHERE AND WHY? _____

9. HAS THIS ORGANIZATION OR ANY OFFICER OR PRINCIPAL EVER HAD PREQUALIFICATION DENIED, REVOKED OR SUSPENDED?

YES NO IF YES, WHEN, WHERE AND WHY?

10. HAS THIS ORGANIZATION OR ANY OFFICER OR PRINCIPAL EVER HAD A LICENSE DENIED, REVOKED OR SUSPENDED?

YES NO IF YES, WHEN, WHERE AND WHY?

11. CONSTRUCTION EXPERIENCE OF THE OFFICERS AND PRINCIPALS OF THIS ORGANIZATION. (Include any licenses)

INDIVIDUAL'S NAME	PRESENT POSITION	YEARS EXPERIENCE	LICENSES AND LICENSE NO.'s

NOTE: Additionally prepare a Statement of Experience for each Officer and Principal and attach to this application.

12. MAJOR CONSTRUCTION PROJECTS IN PREQUALIFICATION CLASS PERFORMED DURING THE PAST 5 YEARS

DESCRIPTION OF PROJECT	LOCATION OF PROJECT Including the name of the owner, inspector & their addresses & phone numbers	CONTRACT DATE	DATE PROJECT COMPLETED	CONTRACT AMOUNT
				\$

18. LIST COMPETENT PERSONS AS DEFINED BY OSHA REGULATIONS THAT THIS ORGANIZATION INTENDS TO USE ON CITY OF OKLAHOMA CITY PROJECTS: _____

19. LIST OF OSHA VIOLATIONS AND FINES IN PAST THREE YEARS: _____

20. LIST ANY LITIGATION WITH THE OWNERS OF PROJECTS IN THE PAST FIVE YEARS

OWNER'S NAME	ADDRESS/PHONE NUMBER	CONTRACT AMOUNT

NATURE OF LITIGATION

21. DOES THIS ORGANIZATION HAVE A QUALITY ASSURANCE /QUALITY CONTROL PROGRAM?

22. DOES THIS ORGANIZATION HAVE A HEALTH AND SAFETY PROGRAM?

23. NAMES OF BANKS AND BANK OFFICIALS MANAGING YOUR ACCOUNT WHO CAN AND WILL FURNISH CREDIT INFORMATION

NAME OF BANK	NAME, ADDRESS & PHONE NUMBER OF BANK OFFICIAL	AMOUNT OF CREDIT
		\$

26. LIST OF EQUIPMENT LEASING COMPANIES USED

NAME OF FIRM OR COMPANY	ADDRESS/TELEPHONE NUMBER

27. ARE ANY OFFICERS OR PRINCIPLES FINANCIALLY INVOLVED IN OTHER BUSINESSES? YES NO IF YES, EXPLAIN.

28. DOES THIS ORGANIZATION QUALIFY AS A MINORITY BUSINESS ENTERPRISE? YES NO IF YES, WHAT TYPE?

29. DOES THIS ORGANIZATION QUALIFY AS A SECTION 8A SMALL BUSINESS? YES NO IF YES, WHAT TYPE?

30. IS THE APPLICANT IN COMPLIANCE WITH THE PROVISIONS OUTLINED IN HOUSE BILL 1804, ALSO KNOWN AS THE OKLAHOMA TAXPAYER AND CITIZEN PROTECTION ACT OF 2007, CODIFIED AS OKLAHOMA STATUTES TITLE 25, SECTION 1313? YES NO

31. IS THE APPLICANT REGISTERED IN EMPLOYMENT ELEGIBILITY VERIFICATION (E-VERIFY) WITH U.S. CITIZENSHIP AND IMMIGRATION SERVICES? www.uscis.gov/everify YES NO

Oklahoma Statutes Citationized

Title 25. Definitions and General Provisions

Chapter 21 - Discrimination

Article Article 3. Discrimination in Employment

Section 1313 - Public Employers - Status Verification System - Unauthorized Aliens - Contracting and Employment Practices

A. Every public employer shall register with and utilize a Status Verification System as described in subparagraphs a or b of paragraph 1 of Section 6 of this act to verify the federal employment authorization status of all new employees.

B. 1. After July 1, 2008, no public employer shall enter into a contract for the physical performance of services within this state unless the contractor registers and participates in the Status Verification System to verify the work eligibility status of all new employees.

2. After July 1, 2008, no contractor or subcontractor who enters into a contract with a public employer shall enter into such a contract or subcontract in connection with the physical performance of services within this state unless the contractor or subcontractor registers and participates in the Status Verification System to verify information of all new employees.

3. The provisions of this subsection shall not apply to any contracts entered into prior to the effective date of this section even though such contracts may involve the physical performance of services within this state after July 1, 2008.

C. 1. It shall be a discriminatory practice for an employing entity to discharge an employee working in Oklahoma who is a United States citizen or permanent resident alien while retaining an employee who the employing entity knows, or reasonably should have known, is an unauthorized alien hired after July 1, 2008, and who is working in Oklahoma in a job category that requires equal skill, effort, and responsibility, and which is performed under similar working conditions, as defined by 29 U.S.C., Section 206(d)(1), as the job category held by the discharged employee.

2. An employing entity which, on the date of the discharge in question, was currently enrolled in and used a Status Verification System to verify the employment eligibility of its employees in Oklahoma hired after July 1, 2008, shall be exempt from liability, investigation, or suit arising from any action under this section.

3. No cause of action for a violation of this subsection shall arise anywhere in Oklahoma law but from the provisions of this subsection.

Contractor's Certificate

Date Submitted _____.

I hereby certify that the attached Financial Statement fairly presents the financial condition of
_____ as of _____.

SIGNATURE

TITLE

Subscribed and sworn to before me this _____ day of _____, 20____.

NOTARY PUBLIC

My Commission Expires _____

Supplement to Section 3.C.2.

Indicate and complete the item that applies. It is the intent of this organization to:

1. YARD LOCATION: _____
- BUSINESS ADDRESS: _____
- PHONE NUMBERS: _____

EMERGENCY CONTACTS AND PHONE NUMBERS

2. 15% cash retainage of the total contract amount to be deposited in advance of the Contract award and retained for the duration of the maintenance period
3. DEFECT BOND. (Copy of sample Bond Attached)

NAME OF SURETY

NAME OF SURETY'S AGENT

ADDRESS OF SURETY'S AGENT

TELEPHONE OF SURETY'S AGENT

Signature of Applicant

Approved City Engineer

Subscribed and sworn to before me this _____ day of _____, 20____.

NOTARY PUBLIC

Date

Prequalification Review Board
The City of Oklahoma City
420 W. Main Street, 7th Floor
Oklahoma City, OK 73102

RE:

To Whom It May Concern:

This letter is provided for information purposes and the City of Oklahoma City and Trusts should reference the required final bonds on specific bonded projects for additional information

_____ (Contractor's Name) is currently applying for pre-qualification status to the City of Oklahoma City and Trusts. This is to advise the Pre-qualification Review Board That based upon the fiscal year end financial information dated _____.

_____ (Surety Name) is willing to entertain bonds for this company up to the amounts of \$_____ (Single Job) / \$_____ (Aggregate), subject to the usual underwriting criteria. In the past we have handles bonding requirements for this company in the amounts of \$_____ (Single Job) / \$_____ (Aggregate).

The undersigned agrees to notify the Pre-qualification Review Board, within a reasonable period of time, once the relationship between contractor/applicant and the stated surety should cease.

Please understand that any arrangement for surety credit is a matter between contractor/applicant and ourselves and we assume no liability to any third parties.

Name of Company or Agency

Name of the Contractor

Signature and Title

Signature and Title

Address

Phone Number

Facsimile Number

PLEASE READ THIS LETTER CAREFULLY BEFORE SIGNING

Date

Prequalification Review Board
The City of Oklahoma City
420 W. Main Street, 7th Floor
Oklahoma City, OK 73102

RE:

To Whom It May Concern:

In accordance with the pre-qualification letter previously forwarded to the City of Oklahoma and Trusts,
_____(Surety) is no longer the surety representative for
_____(Contractor's Name)

Name of Company or Agency

Signature and Title

Address

Phone Number

Facsimile Number

DEFECT BOND

We, _____, as Principal, and
 _____, as Surety,
 a corporation organized under the laws of the State of _____, and
 authorized to transact business in the State of Oklahoma, are held and firmly bound unto the
 OKLAHOMA CITY WATER UTILITIES TRUST and THE CITY OF OKLAHOMA CITY in
 the amount of _____ Dollars
 (\$_____); said sum being equal to one hundred percent (100%) of the contract
 price as provided herein. We, as Principal and Surety, bind ourselves and each of us, our heirs,
 executors, administrators, trustees, successors and assigns, jointly and severally.

WHEREAS, the Principal entered into a written contract with the OKLAHOMA CITY
 WATER UTILITIES TRUST to perform and provide work and construct or create or repair the
 project, to wit: Project No. _____, generally described as _____

 _____,

all in compliance with the Bidding Documents for said project.

NOW, THEREFORE, should the Principal, during the term of this Defect Bond, timely
 and expeditiously repair or replace, or cause to be repaired or replaced, any defective, inferior
 or non-compliant workmanship, work and material regarding or relating to the project, and should
 the Surety, at the end of the term of this Defect Bond, pay, or cause to be paid, to the
 OKLAHOMA CITY WATER UTILITIES TRUST all damages, losses, costs and expenses which
 directly or indirectly may result from: (1) the untimely repair or replacement of inferior, non-
 compliant or defective materials, work and workmanship in connection with said project; (2) the
 failure to timely and expeditiously maintain, repair or replace same; and (3) the cost and expense

incurred by the OKLAHOMA CITY WATER UTILITIES TRUST or THE CITY OF OKLAHOMA CITY to have any defective, inferior or non-compliant work, material or workmanship repaired, replaced or maintained, timely and expeditiously, by City staff and/or third parties, then this Defect Bond shall terminate at the end of the term provided in the Standard Provisions; otherwise, this Defect Bond shall remain in effect.

The term of this Defect Bond shall commence upon the formal final acceptance of the entire project by the OKLAHOMA CITY WATER UTILITIES TRUST as fully and totally complete and shall run for (1) the total number of years as provided in the Standard Provisions for this project ("term of years"); or (2) until all repairs and replacement of defective, inferior or non-compliant materials, work or workmanship, occurring or discovered prior to the termination of this Defect Bond have been completed and all sums due from the Surety and the Principal therefore have been paid, whichever is later.

IN WITNESS WHEREOF, the Principal and Surety duly executed this Defect Bond on this _____ day of _____, 19_____.

ATTEST:

Secretary

ATTEST:

Witness

Principal

By: _____

Surety

By: _____

CONTRACTOR IDENTIFICATION NUMBERS

This form is to be completed and submitted with the Application.

Name of Contractor: _____

The Contractor provides the following Identification Numbers:

Oklahoma Tax Commission _____

Oklahoma Employment Security Commission _____

Internal Revenue Service _____

Social Security Administration _____

STATEMENT AND RELEASE OF FINANCIAL INFORMATION

I _____ being an agent of _____,
do hereby attest that the foregoing Prequalification Statement of Experience & Equipment and
Financial Statement is true and accurate and authorize The City of Oklahoma City to investigate
all references and obtain credit and financial information regarding the Applicant as of the date
hereof.

Dated this _____ day of _____, 20____.

SIGNATURE

TITLE

Subscribed and sworn to before me this _____ day of _____, 20____.

NOTARY PUBLIC

My Commission Expires _____